

1 RESEARCH ARTICLES

2 ID REP-16-0342.R2

3 Sperm of patients with **severe asthenozoospermia** show biochemical, molecular,  
4 and genomic alterations

5

6 Bonanno Oriana<sup>1</sup>, Romeo Giulietta<sup>2</sup>, Asero Paola<sup>1</sup>, Pezzino Franca Maria<sup>2</sup>, Castiglione  
7 Roberto<sup>1</sup>, Burrello Nunziatina<sup>1</sup>, Sidoti Giuseppe<sup>3</sup>, Frajese Giovanni Vanni<sup>4</sup>, Vicari Enzo<sup>1</sup>,  
8 D'Agata Rosario<sup>1,5</sup>

9

10 <sup>1</sup>Section of Endocrinology, Andrology and Internal Medicine, Department of Medical  
11 and Pediatric Sciences, University of Catania, Catania - Italy; <sup>2</sup>Section of Clinic Pathology  
12 and Molecular Oncology, Department of Biomedical Sciences, University of Catania,  
13 Catania - Italy; <sup>3</sup>Division of Internal Medicine, Garibaldi Hospital Catania - Italy;  
14 <sup>4</sup>Endocrinology, Department of Sport Medicine, University of Rome Foro Italico, Rome -  
15 Italy. <sup>5</sup>Emeritus Professor of Endocrinology and Andrology at the University of Catania -  
16 Italy

17

18

19 **Running head:** Sperm alterations in asthenozoospermic patients

20

21 **Key words:** asthenozoospermia, sperm abnormalities, reactive oxygen species,  
22 male infertility.

23

24

25 **For submission to** *Reproduction*

26

27

28 **Reprint request:** Prof. Enzo Vicari, U.O.C. Andrologia - Endocrinologia, Department of  
29 Medical and Experimental Medicine, University of Catania, Catania - Italy, via S. Sofia, 78  
30 - Catania - Italy. Email: vicarienzo@email.it

## 31 SUMMARY

32 Severely low sperm motility is a frequent cause of infertility. This pathological  
33 condition is multifactorial. However, mechanisms underlying the development of this  
34 condition are not completely understood. Single abnormalities have been reported in  
35 sperms of patients with asthenozoospermia. In the present study, we characterized, in 22  
36 normozoospermic men and in 37 patients with asthenozoospermia, biochemical,  
37 molecular, and genomic abnormalities that frequently occur in sperm of patients with  
38 asthenozoospermia. We evaluated a panel of sperm biomarkers that may affect the  
39 motility and fertilizing ability of sperm of patients with severe asthenozoospermia. Since  
40 reactive oxygen species (ROS) production is involved in the pathogenesis of such sperm  
41 abnormalities, we determined the association between ROS production and sperm  
42 abnormalities. High percentage of patients with severe asthenozoospermia showed  
43 increased basal and stimulated ROS production. Moreover, these patients showed  
44 increased mitochondrial DNA (mtDNA) copy number but decreased mtDNA integrity and  
45 they were associated with elevated ROS levels. Furthermore, mitochondrial membrane  
46 potential was also significantly decreased and again associated with high ROS production  
47 in these patients. However, the rate of nuclear DNA fragmentation was increased only in  
48 less than one-fifth of these patients. An important cohort of these patients showed multiple  
49 identical biochemical, molecular, and genomic abnormalities, which are typical  
50 manifestations of oxidative stress. The most frequent association was found in patients  
51 with high ROS levels, increased mtDNA copy number and decreased integrity, and low  
52 MMP. A smaller cohort of the aforementioned patients also showed nDNA fragmentation.  
53 Therefore, patients with asthezoospermia likely present reduced fertilizing potential  
54 because of such composed abnormalities.

55

## 56 INTRODUCTION

57 Low sperm motility (asthenozoospermia) alone or in combination with other sperm  
58 abnormalities is a frequent cause of infertility. Common causes of asthenozoospermia are  
59 genital tract infections, varicocele, sperm antibody (ASA), metabolic diseases and tail  
60 anatomic abnormalities. Most patients with asthenozoospermia are idiopathic. Cellular  
61 energy for sperm motility and propulsion is produced through oxidative phosphorylation, in  
62 the mitochondria, a major producer of ATP, through the electron transport chain (Bahr &  
63 Engler 1970, St John *et al.* 2000). Treatment of sperm with extracellular ATP significantly  
64 increases their fertilization potential (Rossato *et al.* 1999). This complex biochemical and  
65 molecular mechanism is genetically controlled by mitochondrial DNA (mtDNA) and nuclear  
66 DNA (nDNA) (Bruijn *et al.* 1981). Thus, in addition to other factors (Calogero *et al.* 1998,  
67 Narisawa *et al.* 2002), genomic integrity of mtDNA and nDNA plays an important role in  
68 maintaining good sperm motility. The mitochondria regulate also cell apoptosis by  
69 releasing several apoptotic factors (Susin *et al.* 1999). Many infertile men have fragmented  
70 nDNA (Varum *et al.* 2007).

71 Several studies support the importance of the mitochondria in maintaining sperm  
72 quality and motility; in fact asthenozoospermia (Folgero *et al.* 1993) and  
73 oligoasthenozoospermia (Lestienne *et al.* 1997) have been reported in patients with typical  
74 mitochondrial diseases characterized by point mutations or multiple deletions in mtDNA.  
75 Various large deletions in and fragmentation of mtDNA have been observed in sperm with  
76 poor sperm quality (Kao S *et al.* 1995, Kao *et al.* 1998, Song & Lewis 2008). Moreover,  
77 comparison of several polymorphic regions in mtDNA has shown an association between  
78 mtDNA haplogroup and asthenozoospermia (Ruiz – Pesini *et al.* 2000). Additional studies  
79 indicate that sperm in abnormal semen samples show quantitative alterations in mtDNA  
80 and that sperm of infertile men show increased mtDNA content or copy number (May-

81 Panloup *et al.* 2003, Song & Lewis 2008). Diez-Sanchez *et al.* (2003) showed a clear  
82 difference in mtDNA copy number between progressively motile and non-progressively  
83 motile sperm. These qualitative and quantitative alterations in abnormal sperm may be  
84 because of impaired mitochondrial maintenance or oxidative stress-induced deleterious  
85 effects on mtDNA (May-Painloup *et al.* 2003, Shamsi *et al.* 2009, Venkatesh *et al.* 2009).  
86 Since nuclear and mitochondrial gene products are dependent on each other, nDNA  
87 fragmentation may be a more reliable predictor of impaired sperm motility (Muratori *et al.*  
88 2000). Causes of nDNA damage are not completely understood. However, several studies  
89 suggest that increased intracellular or extracellular reactive oxygen species (ROS) (Aitken  
90 & Curry 2011) and the consequent oxidative stress play a key role in inducing nDNA  
91 damage. Sperm contain several ROS substrates such as unsaturated fatty acids, DNA,  
92 and proteins and possess limited endogenous antioxidant capacity (Alvarez *et al.* 1987,  
93 Aitken *et al.* 1989). Therefore, sperm are highly susceptible to oxidative damage, which in  
94 turn affects mtDNA and nDNA (Aitken & De Juliis 2010). In addition, loss of mitochondrial  
95 membrane potential (MMP) and subsequent decrease in energy production may decrease  
96 sperm motility (Marchetti *et al.* 2004), which are often associated with elevated ROS levels  
97 (Wang *et al.* 2003).

98 Sperm can be affected by endogenous ROS production or by ROS formed in  
99 leukocytes present in semen (Whittington & Ford 1999). Use of recently developed probes  
100 against mitochondria-produced ROS has shown that mitochondria are the main source of  
101 ROS in sperm (Koppers *et al.* 2008, Aitken *et al.* 2012). Once initiated, ROS production  
102 becomes a self-perpetuating peroxidation mechanism (Aitken *et al.* 2012) by generating  
103 peroxy and lipid radicals that perpetuate the chain reaction of lipid peroxidation, a process  
104 which is very harmful to sperm (Alvarez *et al.* 1987, Aitken & Curry 2011), at biochemical  
105 and molecular levels (Agarwal & Allamanemi 2004) because it damages different

106 substrates, including permanent damage of the axoneme (de Lamirande & Gagnon 1992,  
107 Hughes *et al.* 2009).

108 Therefore the present study evaluated a panel of sperm biomarkers that exert  
109 detrimental effects on sperm motility in men with severe asthenozoospermia and  
110 determined the association of ROS overproduction to these biofunctional sperm  
111 alterations. To this end, we performed biochemical, genomic, and molecular analyses of  
112 sperm collected from patients with high initial percentage of non-progressive motile sperm.

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

## 131 MATERIAL AND METHODS

132

### 133 Chemicals and reagents

134 All chemicals used in this study were purchased from Sigma (Milano, Italy), unless  
135 otherwise specified. Percoll was purchased from Codisan (Milano, Italy), and 5-amino-2,3-  
136 dihydro-1,4-phthalazinedione (luminol) and dimethyl sulfoxide (DMSO) were purchased  
137 from Bouty (Milano, Italy). 12-myristate, 13-acetate phorbol ester (PMA) was purchased  
138 from VWR International (Milano, Italy), and 5,5'.6,6'-tetrachloro-1,1',3,3'-tetraethyl  
139 benzimidazolyl carbocyanine iodide (JC-1) dye was purchased from Space Import-Export  
140 (Milano, Italy). Annexin V, PI, LPN DNA-Prep Reagent (L DNA-Pr), and Mebstain  
141 Apoptosis (Meb-Ap) Kit were purchased from Beckman Coulter (Milano, Italy).  
142 DNA isolation kit was purchased from Qiagen (Milano, Italy), and TOPO TA Cloning  
143 (TOPOT-CI) Kit and AccuPrime Pfx (AcP-Pfx) DNA polymerase were purchased from  
144 Invitrogen (Milano, Italy). iQ SYBR Green Kit was from Thermofisher (Milano, Italy).

145

### 146 Preparation of human sperm

147 This study included 37 men recruited from couples who underwent semen analysis  
148 at the Andrology Centre of Catania University (EAA Andrology Centre) as a part of their  
149 fertility evaluation. As the study was intended to be performed in patients with a high  
150 percentage sperm with low motility, semen samples were collected from patients whose  
151 sperm showed arbitrary progressive motility ( $a + b \leq 20\%$ ) and non-progressive motility  
152 ( $c > 50\%$ ). Patients included in the study were diagnosed with idiopathic  
153 asthenozoospermia, clinical palpable varicocele, inflammation of the accessory sex  
154 glands, or as overweight following physical examination and history taking. Smokers,  
155 patients with known exposure to toxic chemicals, alcohol intake and/or drug abuse,

156 systemic diseases, and recent hormonal treatment were criteria of exclusion. In addition,  
157 the study included 22 healthy men with normal sperm parameters (according to the *WHO*  
158 *2010 guidelines*) whose fertility status was unknown and who volunteered to participate in  
159 the study. Exclusion criteria for this group were cigarette smoking, history of  
160 cryptorchidism and varicocele, known exposure to toxic chemicals, and presence of genital  
161 inflammation. This study was approved by the Institutional Research Review Board of the  
162 University of Catania Medical School, all subjects provided written informed consent.  
163 Semen samples from men in both the study groups were collected in sterile plastic jars  
164 through masturbation after 3–5 days of abstinence. Routine semen analysis was  
165 performed within 1 h after ejaculation by using a light microscope to determine  
166 conventional sperm parameters (WHO 2010). An aliquot of the semen sample was used  
167 for evaluating ROS production. The remaining semen sample was purified by performing  
168 Percoll density gradient centrifugation and was used for molecular and genomic analyses.

169 Purification of human spermatozoa was achieved using a 2-step discontinuous  
170 Percoll gradient (90% / 45%) obtained by diluting isotonic Percoll (90 ml Percoll  
171 supplemented with 10 ml of 10x Ham's F10 (WHO 2010) solution, 370  $\mu$ l sodium lactate  
172 syrup, 3 mg sodium pyruvate, 210 mg sodium hydrogen carbonate, and 100 mg polyvinyl  
173 alcohol) with HEPES-buffered Biggers, Whitten, and Whittingham medium (BWW)  
174 (Biggers *et al.* 1971), according to Mitchell *et al.* (2011). Next, up to 3 ml liquefied semen  
175 was layered on top of each gradient and was centrifuged at 500xg for 30 min. Sperm pellet  
176 obtained from the base of the high-density fraction of the gradient was recovered, washed  
177 with 3 ml BWW and pelleted by centrifugation at 600xg for 10 min.

178 The final pellet was suspended in a low volume of BWW and was examined under a  
179 light microscope. Generally no round cells were found. However samples containing round  
180 cells or > 15% immotile sperms(d) were discarded.

## 181 Measurement of ROS production

182 Aliquots of  $5-10 \times 10^6$  sperm were washed with two volumes BWW and were  
183 centrifuged at 300xg for 5 min. Seminal plasma was discarded. ROS production was  
184 measured by performing a chemiluminescence assay, as described previously (D'Agata *et*  
185 *al.* 1990). Briefly, 5  $\mu$ l luminol, which was stored as a 20 mM stock solution in DMSO, and  
186 8  $\mu$ l horseradish peroxidase (1550 IU/ml in PBS), which was added to sensitize the assay  
187 (Krausz *et al.* 1992), were added to 500  $\mu$ l of the washed sperm suspension as probes.  
188 Next, the sperm suspension was diluted with 500  $\mu$ l BWW, and basal and stimulated ROS  
189 production was determined by measuring chemiluminescence with Bioluminate LB 9500 T  
190 luminometer (Berthold Technologies, Bad Wildbad, Germany) in an integrated mode for 10  
191 min. Results are expressed as the number of photons counted per minute (cpm)/ $10 \times 10^6$   
192 sperms.

193 Basal chemiluminescent signal (basal ROS) was monitored at 37°C until its  
194 stabilization (approximately 5 -10 min). After the system returned to baseline, the sperm  
195 suspension in the lumivial was stimulated with 2  $\mu$ l formyl-leucyl-phenylalanine (FMLP), a  
196 polymorphonuclear leukocyte-specific chemiluminescent probe (Krausz *et al.* 1992, Krausz  
197 *et al.* 1994), and was monitored for additional 7 min to determine the magnitude of peak  
198 obtained. After the signal returned to baseline, 4  $\mu$ l 10  $\mu$ M PMA was added to the sperm  
199 suspension. PMA increases ROS production by stimulating kinase C in both leukocytes  
200 and sperm (Ford 1990), resulting in a sustained increase in the chemiluminescent signal  
201 (Krausz *at al.* 1994).

202

## 203 Flow cytometric analysis

204 Flow cytometric analysis was performed using EPICS XL (Beckman Coulter), as  
205 reported previously (Perdichizzi *et al.* 2007). In all, 10.000 events were measured for each



206 sample at a flow rate of 200-300 events/s and were analyzed using SYSTEM II™  
207 Software, 3.0 Version (Coulter Electronics, Milan, Italy).

208

### 209 **Determination of MMP**

210 The lipophilic cationic fluorescent dye JC-1 was used to differentiate and label  
211 mitochondria with high and low membrane potential. Sperm with intact mitochondria show  
212 an intense red-orange fluorescence. In contrast, JC-1 treated sperm with low MMP form  
213 monomers that show green fluorescence (Troiano *et al.* 1998).

214 In the present study, MMP was determined by adjusting the density of the sperm  
215 suspension at  $0.5-1 \times 10^6$  cells/ml with 500  $\mu$ l phosphate buffer and by incubating the sperm  
216 with JC-1 in the dark at 37°C for 10-15 min. JC-1 was dissolved in DMSO to obtain 1  
217 mg/ml stock solution. JC-1 (20  $\mu$ g) was diluted in 480  $\mu$ l PBS before adding it to the sperm  
218 suspension.

219

### 220 **Annexin V/PI assay**

221 PS externalization was determined by staining sperm with FITC-labeled annexin V  
222 and PI by using a commercial kit (Perdichizzi *et al.* 2007). Double staining allows the  
223 distinction of (a) viable sperm (sperm not stained with annexin V and PI), (b) sperm in the  
224 early stage of apoptosis (PS externalization) (sperm stained with annexin V but not with  
225 PI), (c) sperm in the late phase of apoptosis (sperm stained with annexin V and PI),  
226 and (d) necrotic sperm (sperm stained with PI but not with annexin V).  
227 Briefly, an aliquot of the semen sample containing  $0.5 \times 10^6$  sperm/ml was resuspended in  
228 500  $\mu$ l binding buffer, was labeled with 1  $\mu$ l annexin V-FITC and 5  $\mu$ l PI, was incubated in  
229 the dark for 10-15 min, and was analyzed immediately. Signals were detected using FL-1  
230 (FITC) and FL-3 (PI) detectors.

**231 PI staining**

232 The degree of chromatin compaction was evaluated using the sperm PI staining.  
233 (Perdichizzi *et al.* 2007).

234 Briefly, an aliquot containing approximately  $1 \times 10^6$  sperm/ml was incubated with  
235 100  $\mu$ l lysing and permeabilizing reagent in the dark at room temperature. After 10 min,  
236 500  $\mu$ l L DNA-Pr (containing PI, RNase type A, NaN salts, and stabilizer) was added to the  
237 sperm suspension, and the suspension was incubated in the dark for 30 min.

238 Sperm with normal chromatin packaging emitted low PI fluorescence because less  
239 amount of PI reached the DNA. In contrast, sperm containing endogenous nicks in DNA  
240 emitted high fluorescence.

241

**242 TUNEL assay**

243 The evaluation of fragmentation of DNA was obtained through the Tunnel assay as  
244 previously reported (Perdichizzi *et al.* 2007). Briefly, the assay was conducted on aliquots  
245 of about  $1 \times 10^6$  washed sperm, which were labeled using the Meb-Ap Kit. To obtain a  
246 negative control, deoxynucleotidyl transferase was omitted from the reaction mixture, and  
247 positive control was obtained by pretreating the sperm with 1  $\mu$ g/ml deoxyribonuclease I,  
248 RNase-free at 37 °C for 60 min before labeling.

249

**250 Extraction of total DNA**

251 DNA from the sperm samples of patients and controls was extracted using a DNA  
252 isolation kit, according to the manufacturer's instructions. Extracted DNA was quantified  
253 using NanoDrop 1000 spectrophotometer (Thermo Scientific, Euroclone, Milano, Italy) in  
254 triplicate.

255

## 256 Long-range polymerase chain reaction for determining mtDNA integrity

257 Long-range polymerase chain reaction (long PCR) was performed to amplify  
258 approximately half of the mitochondrial genome (8.7 kb) by using AcP-Pfx DNA  
259 polymerase, which is inactive at ambient temperatures and is activated after initial  
260 denaturation to determine mtDNA integrity.

261 Long PCR was performed in a 50- $\mu$ l reaction mixture containing 1  $\times$  buffer with  
262 dNTPs,

- 263 • forward primer (5'-AAGGATCCTCTAGAGCCCACTGTAAAG-3'),
- 264 • reverse primer (5'-TTGGATCCAGTGCATACCGCCAAAAG-3'),
- 265 • 2.5 U DNA polymerase,
- 266 • 200 ng sperm DNA.

267 Amplification conditions were as follows: initial denaturation at 95 °C for 2 min, followed by  
268 25–35 cycles of denaturing (95 °C for 15 s), annealing (62 °C for 1 min), and extension  
269 step at 68 °C for 9 min. PCR products obtained were visualized by electrophoresis on  
270 0.8% agarose gels. DNA extracted from sperm treated with H<sub>2</sub>O<sub>2</sub> for 1 h at 37 °C was  
271 used as control. Results of long PCR showed that DNA amplification decreased after H<sub>2</sub>O<sub>2</sub>  
272 treatment.

273

## 274 Determination of mtDNA copy number

275 Quantitative PCR (qPCR) was performed to determine the relative copy number of  
276 mtDNA, which was calculated using the copy number ratio of mitochondrial gene encoding  
277 16S rRNA to nuclear gene encoding glyceraldehyde-3-phosphate dehydrogenase  
278 (GAPDH) (Song & Lewis 2008). To synthesize standard DNA, PCR was performed using  
279 16S RNA primers under the following amplification conditions: the first cycle at 94 °C for 5  
280 min; 30 cycles of denaturation at 94 °C for 1 min, annealing at 58 °C for 1 min, and

281 extension at 72 °C for 1 min; and final extension at 72 °C for 10 min. Before cloning, PCR  
282 products obtained were electrophoresed on 1% agarose gel, which produced a single  
283 150-bp band. The 150-bp fragment was cloned into the PCR 2.1-TOPO vector  
284 by using the TOPOT-Cl Kit, was sequenced to confirm the accuracy of the  
285 inserted sequence, and was used as standard DNA for performing qPCR.  
286 Plasmid DNA obtained was quantified using NanoDrop 1000 spectrophotometer was  
287 diluted to obtain  $1 \times 10^2$  to  $1 \times 10^8$  copies/ $\mu$ l, and was stored in a -80°C in a freezer.

288 The amount of mtDNA and GAPDH was determined using 2 primer  
289 sets specific to the mitochondrial 16S rRNA gene and nuclear GAPDH. The mitochondrial  
290 amplification reaction was performed in duplicate with 16S rRNA  
291 (forward primer 5'-ACTTTGCAAGGAGAGCCAAA-3' and reverse primer  
292 5'-TGGACAACCAGCTATCACCA-3'). Nuclear GAPDH was amplified using  
293 forward primer 5'-GGATGATGTTCTGGAAGAGCC-3' and reverse primer  
294 5'-AACAGCCTCAAGATCATCAGC-3'. Primers were included in triplicate along with  
295 negative control samples and a range of standards. The qPCR was performed using ABI  
296 7300 (Applied Biosystems, Milano, Italy) with iQ SYBR Green Kit, according to  
297 manufacturer's instructions.

298 The SYBR green dye binds to double-stranded DNA but not to single-stranded DNA  
299 and can be used for monitoring DNA amplification during qPCR (10 ng template; initial  
300 denaturation at 95 °C for 5 min, followed by 40 cycles of denaturation at 95 °C for 10 s and  
301 annealing at 60 °C for 30 s). Moreover, the dye emits bright fluorescence upon binding to  
302 DNA. Melting curve analysis was performed to verify the accuracy and specificity of  
303 amplification.

304

305

**306 Statistical analysis**

307 All the variables were initially tested using Kolmogorov–Smirnov test to determine  
308 data normality. Data of normally distributed variables were expressed as mean  $\pm$  SD and  
309 those of non-normally distributed variables were expressed as median and 25-75  
310 percentile. Groups were compared using unpaired Student's t-test and non-parametric test  
311 (Mann–Whitney U test) for normal and non-normal distribution, respectively. Correlation  
312 analysis between the study variables was performed using Spearman's non-parametric  
313 test with untransformed values. For all the statistical tests, differences with  $P < 0.05$  were  
314 considered significant. Difference in deletion frequency was determined using  $\chi^2$  test.

315 All analyses were performed using SAS statistical software package version 9.1  
316 (SAS Institute Inc., Cary, NC, USA).

317

318

319

320

321

322

323

324

325

326

327

328

329

330

## 331 RESULTS

332 Median age of controls was 33.3 years (range, 20.1-40.7 years), which was not  
333 significantly different from that of patients (26.4 years [range, 22.9-38.1]). As expected, all  
334 the parameters of sperm from patients were significantly lower than those of sperm from  
335 healthy controls. However, sperm with normal morphology were comparable. Moreover,  
336 ejaculates of patients showed high leukocyte infiltration ( $P < 0.0001$ ; Table 1). In contrast,  
337 ejaculates of only 6 controls (27.2%) showed leukocyte contaminations (less than  
338  $1 \times 10^6/\text{ml}$ ).

339

### 340 Seminal ROS production

341 ROS production was measured using the total population of unfractionated cells to  
342 determine the overall oxidative status of the ejaculate. Basal ROS production (in 58.3%  
343 samples) and stimulated ROS production (in 70.8% and 83.3% samples treated with  
344 FMLP and PMA, respectively) were significantly higher ( $P < 0.0001$ ) in patients than in  
345 controls (Fig.1). In all the patients with increased spontaneous, basal level of activity,  
346 FMLP- and PMA-stimulated ROS production was higher than the basal ROS production.  
347 Consistently, chemiluminescent signals after PMA stimulation were elevated significantly  
348 over those after FMLP stimulation ( $P < 0.001$ ). Significant correlation was observed  
349 between basal and stimulated ROS production ( $r = 0.44$ ,  $P < 0.03$  and  $r = 0.76$ ,  $P < 0.00001$   
350 for FMLP- and PMA-stimulated ROS, respectively) in semen samples of patients with  
351 asthenozoospermia. Furthermore, FMLP- ( $r = 0.5$ ,  $P < 0.01$ ) and PMA-stimulated ROS  
352 production ( $r = 0.46$ ,  $P < 0.02$ ) but not basal ROS production were correlated with leukocyte  
353 concentration in the semen samples. Moreover, strong correlation was observed between  
354 PMA- and FMLP-stimulated ROS production ( $r = 0.89$ ,  $P < 0.000002$ ), but in three cases  
355 production was stimulated by the addition of PMA only, with the response being lower than

356 that with FLMP. However, no correlation was observed between basal as well as  
357 stimulated ROS production and sperm parameters or between ROS production and  
358 mtDNA copy number or flow cytometric sperm variables, except DNA fragmentation.

359

### 360 **Integrity of mtDNA in sperm of patients with asthenozoospermia and controls**

361 Long PCR amplified an 8.7-kb fragment from the 16-kb mitochondrial genome in all  
362 the samples. This 8.7-kb fragment contains several genes encoding subunits of energetic  
363 complexes as well as the common deletion types 4.3 kb and 7.4 kb in sperm (Song &  
364 Lewis 2008). Fig. 2 shows the representative products of long PCR from 2  
365 normozoospermic controls and 2 patients. The high intensity of the full-length band  
366 indicated the presence of normal intact mtDNA (Fig.2, lanes 1 and 2). In contrast, the low  
367 intensity of full-length mtDNA band indicated poor mtDNA integrity because of low amount  
368 of mtDNA, fragmentations and deletions. The results of long PCR showed differences in  
369 mtDNA integrity in sperm of controls and patients. Normozoospermic controls showed high  
370 intensity of full length band and did not contain deletions in mtDNA, indicating normal  
371 intact mtDNA. In contrast, 36 (97.2%) patients showed multiple deletions in mtDNA (Fig.2,  
372 lanes 3 and 4). This difference in the frequency of deletions in mtDNA between patients  
373 and controls was highly significant ( $P < 0.0001$ ). Only 1 patient with asthenozoospermia  
374 had intact mtDNA; however, this patient showed high PS externalization and had abnormal  
375 chromatin compactness values (results not shown). Moreover, this patient had the highest  
376 mtDNA copy number and showed the highest PMA-stimulated ROS production. In  
377 addition, this patient showed low progressive sperm motility of 12%, with 1 million  
378 leukocytes in the ejaculate. Furthermore, abnormal mtDNA was associated with high ROS  
379 production in sperm samples of 83.4% patients.

380

### 381 **Alterations in mtDNA copy number in sperm of patients and controls**

382 The median mtDNA copy number in sperm of patients with asthenozoospermia was  
383 14.8 (percentile, 5.4-29.68; range, 1-61), which was significantly higher than that in  
384 controls (median, 5.75 [percentile, 4.72-7.05; range, 1.1-10];  $P < 0.006$ ). This increase in  
385 mtDNA copy number was observed in 45.8% patients. Moreover, patients with increased  
386 mtDNA copy number showed high ROS production.

387

### 388 **Integrity of nDNA**

389 Integrity of nDNA, which was measured using the TUNEL assay, was not  
390 significantly different between the 2 study populations (median, 2.4% [percentile, 1.4-3.2;  
391 range 0.5-4.0] vs. 2.0% [percentile, 0.9-5.0; range 0.6-34.6] in controls and patients,  
392 respectively; Table 1). In all, 16.6% patients showed very high rate of nDNA fragmentation  
393 (>10%). However, the rates of nDNA fragmentation in the remaining patients were within  
394 normal limits or between 4%-5.1%. Moreover, the rate of nDNA fragmentation was  
395 correlated with basal ROS production in patients ( $r = 0.48$ ,  $P < 0.016$ ).

396

### 397 **MMP**

398 The sperm of only 8 (21.6%) patients showed normal (high) MMP compared with  
399 that of controls (mean, 55.3%  $\pm$ 21.7 vs. 86.1%  $\pm$ 7.9;  $P < 0.0001$ ). Low MMP was  
400 associated with high ROS production in a high percentage (78.9%) of patients.

401

### 402 **Other flow cytometric parameters**

403 No significant differences were observed in percentage viability, PS externalization,  
404 late apoptosis, necrosis, or abnormal chromatin compactness between the sperm of the 2  
405 study populations (Table 1). Interestingly, a negative correlation was observed between



406 alive sperm and PS externalization ( $r = -0.555$ ,  $P < 0.005$ ), late apoptosis ( $r = -0.666$ ,  $P$   
407  $< 0.0003$ ) and necrotic cell numbers ( $r = -0.446$ ,  $P < 0.028$ ). In contrast, a positive  
408 correlation was observed between PS externalization and late apoptosis ( $r = 0.555$ ,  
409  $P < 0.0048$ ).

410

#### 411 **Subpopulations of patients with multiple abnormalities in sperm**

412 Next, we determined whether patients with asthenozoospermia showing abnormal  
413 ROS in their ejaculates had multiple identical ROS-associated abnormalities in their  
414 sperm. Almost all patients showing low MMP and high ROS production had deletions in  
415 the mtDNA of their sperm, and almost half of these patients (44.6%) showed increased  
416 mtDNA copy number (cohort a). Moreover, 12.6% of these patients also showed high rate  
417 of nDNA fragmentation ( $> 10\%$ ) (cohort b).

418

419

420

421

422

423

424

425

426

427

428

429

430

## 431 DISCUSSION

432 The results of this study provide further evidence that severe asthenozoospermia is  
433 associated with various abnormalities in sperm. The sperm of patients with  
434 asthenozoospermia showed increased ROS production, mitochondrial and nuclear  
435 genomic alterations, and multiple molecular abnormalities. However, the frequency of  
436 abnormalities in these different nonconventional biofunctional sperm parameters varied in  
437 patients with asthenozoospermia (Fig. 3).

438 We found that ejaculates of 58.3%, 70.8%, and 83.3% patients, showed increased  
439 basal, FMLP-stimulated, and PMA-stimulated ROS production respectively, compared to  
440 controls. This result indicated that ROS was overproduced under basal condition  
441 irrespective of its source, i.e., leukocytes or sperm, which was consistent with the results  
442 of Whittington & Ford (1999). As from leukocyte specific FMLP agonist stimulation,  
443 leukocytes, were the main ROS producer in semen samples from a little more than two-  
444 thirds of the patients, as elsewhere reported (Krausz *et al.* 1992, Whittington & Ford 1999).  
445 However, the lack of correlation between ROS levels and sperm motility, a major target of  
446 ROS, is unclear. Moreover, the results of previous studies are inconsistent in this regard  
447 (Whittington *et al.* 1999; Kao *et al.* 2008). Although PMA is the most powerful stimulant for  
448 oxidant stimulation by human sperm (Krausz *et al.* 1992), ROS production in patients after  
449 PMA can not be specifically compartmentalized to some extent to leukocytes or sperm,  
450 since the probe is a stimulus for both leukocytes and sperm. However, consistently PMA-  
451 stimulated ROS production were elevated significantly over those after FMLP, suggesting  
452 some amount of ROS production by sperm.

453 In the present study, we observed that almost 50% patients with severe  
454 asthenozoospermia showed significantly increased mtDNA copy number, which was  
455 consistent with the results of previous studies that non-progressively motile sperm show

456 increased mtDNA copy number (May-Panloup *et al.* 2003, Amaral *et al.* 2007, Song &  
457 Lewis 2008). Recent studies have shown a negative correlation between mtDNA copy  
458 number and sperm motility in men with varicocele; moreover, varicocele correction  
459 improves sperm motility and decreases mtDNA copy number in these patients (Gabriel *et*  
460 *al.* 2012). Furthermore, mtDNA copy number increases in men living in hypoxic conditions  
461 at high altitudes for 1 year compared with that in men living in plains (Luo *et al.* 2011). This  
462 increase in mtDNA copy number might be induced by elevated oxidative stress (Lee *et al.*  
463 2000, Liu *et al.* 2003).

464 Our results showed decreased mtDNA integrity in almost all sperm samples from  
465 patients with asthenozoospermia. These mitochondrial genomic alterations are hallmarks  
466 of spermatogenic dysfunction (Hecht & Liem 1984, May-Panloup *et al.* 2003, Song &  
467 Lewis 2008) and severely alter mitochondrial function in abnormal sperm. Genomic  
468 alterations were also observed in nDNA; however, the frequency of alterations in  
469 nDNA was lower than that in mtDNA. The rate of nDNA fragmentation was not  
470 significantly different between controls and patients with asthenozoospermia. However, a  
471 small percentage of patients with asthenozoospermia showed high rate of nDNA  
472 fragmentation (>10%). Furthermore, nDNA fragmentation was correlated with basal ROS  
473 production, which was consistent with what was previously reported (Aitken *et al.* 2010).  
474 This finding was also consistent with the notion that nDNA fragmentation is often  
475 associated with oxidative stress (De Iuliis *et al.* 2009). Oxidative stress or ROS production  
476 in the mitochondria induces breaks in nDNA (Wang *et al.* 2003, Aitken & De Iuliis 2010).  
477 However, mtDNA is more susceptible to the harmful effects of excess ROS production  
478 than nDNA (Yakes *et al.* 1997, Sawyer *et al.* 2003). This may be one of the reasons for  
479 the higher incidence of abnormalities in mtDNA than in nDNA in patients with  
480 asthenozoospermia. Thus, mitochondrial dysfunction may be involved in the pathogenesis

481 of asthenozoospermia in these men. Men with multiple mutations and large deletions in  
482 mtDNA showed severe phenotypic defect (Kao *et al.* 1995, St John *et al.* 1997, Salehi *et*  
483 *al.* 2006).

484 A significantly lower number of sperm of patients with severe asthenozoospermia  
485 had normal (high) MMP values as further expression of mitochondrial dysfunction. In fact,  
486 only one-fifth of patients with asthenozoospermia had normal MMP values. MMP is a good  
487 predictor of sperm quality. Such cell abnormality will result in less energy production for  
488 sperm function and motility. Sperm with high MMP values have intact acrosome, high  
489 fertilizing capacity, and normal motility and morphology. In contrast, sperm with low MMP  
490 values are of low quality and are associated with low IVF rates (Kasai *et al.* 2002,  
491 Marchetti *et al.* 2004). This is in turn correlated with ROS production (Wang *et al.* 2003).  
492 Dysruption of MMP may occur during early stages of apoptosis in germ cells (Erkkilä *et al.*  
493 1999) and thus before the induction of nDNA damage in sperm.

494 Other flow cytometric parameters were not significantly different between patients  
495 with asthenozoospermia and normozoospermic controls. Sperm vitality is a good predictor  
496 of gamete quality. An inverse correlation was observed between sperm viability and signs  
497 of cell apoptosis, such as PS externalization, early and late stages of apoptosis, necrosis,  
498 and chromatin compactness. This has important clinical implications in that the selection of  
499 good quality sperm for ICSI conventionally focuses on mobile and consequently viable  
500 sperm. Furthermore, positive correlation was observed between PS externalization and  
501 late apoptosis, indicating that PS externalization promoted the entry of sperm into the  
502 senescence phase, followed by apoptosis and death.

503 These abnormalities in sperm of patients with severe asthenozoospermia are typical  
504 manifestations of ROS- induced damage and are based on a continuum of decreased  
505 sperm motility; decreased MMP; decreased mtDNA integrity; increased mtDNA copy

506 number; PS externalization; caspase activation; oxidative nDNA damage, including nDNA  
507 fragmentation; late apoptosis; and death. The final damaging consequences on sperm of  
508 such exposure depend on the capacity of sperm of these men to withstand oxidative  
509 stressor, possibly compounded by a compromised total antioxidant capacity in their  
510 seminal fluid (Pasqualotto *et al.* 2000, Kao *et al.* 2008). ROS-induced cellular damage also  
511 depends on whether ROS production is extracellular (leukocytes) or intracellular (sperm).  
512 Extracellular ROS production exerts less damage on nDNA (Henkel *et al.* 2005).  
513 In addition, time and site of ROS exposure, oxidants produced by morphologically poor  
514 sperm, and other round cells are also important determinants of the degree of severity of  
515 ROS-induced cellular damage (Henkel *et al.* 2005). So as the generation of these reactive  
516 free radicals overwhelms the defense system, this induces oxidative stress, which is  
517 characterized by a cascade of cellular damage (Aitken *et al.* 2010). This may be the  
518 reason why only a subpopulation of patients with asthenozoospermia showed increased  
519 nDNA fragmentation, which is caused by prolonged exposure to ROS (Aitken *et al.* 2010).  
520 Basal ROS status in the ejaculates of our patients correlates with nDNA damage  
521 observed, thus supporting the role of ROS in inducing nDNA alterations. However, these  
522 multiple abnormalities observed in sperm of patients with asthenozoospermia may also  
523 result from deranged spermatogenesis and then aborted apoptosis (Sakkas *et al.* 2003).

524         The general findings of this study are consistent with the hypothesis that sperm of  
525 patients with asthenozoospermia have several biochemical, molecular, genomic, and  
526 functional abnormalities, which may decrease their fertilization potential (Tesarik *et al.*  
527 2002, Zidi-Iran I *et al.* 2016). This was also observed in patients with asthenozoospermia  
528 included in the present study. During follow-up, sperm of 2 patients in subgroup b could  
529 not lead to pregnancy after as many as 3 ICSI attempts. In contrast, sperm of 1 patient  
530 who had low MMP as the only abnormality led to pregnancy during the first ICSI attempt

531 (results not shown). We observed that patients with this pathological condition could be  
532 subgrouped according to the presence of only 1 or more cellular abnormalities, with high  
533 ROS production, increased mtDNA deletions and copy number, and reduced MMP being  
534 the most common associated abnormalities. Rate of nDNA fragmentation was high only in  
535 less than one-fifth of the patients with asthenozoospermia. As time elapses and the cause  
536 persists, the current picture might get worse in the absence of any treatment. No robust  
537 clinical implications can be drawn from the results of the present study because the study  
538 included limited number of patients. However, the present findings strengthen the  
539 association of high frequency biochemical and biofunctional sperm alterations in patients  
540 with severe asthenozoospermia, and emphasize evaluating male factor by sperm function  
541 tests to determine hidden anomalies which may better define the fertility status in vivo and  
542 in vitro.

543 To our knowledge, this is the first study to examine, all together, biochemical,  
544 functional, molecular, and genomic abnormalities in sperm of patients with  
545 asthenozoospermia. These abnormalities make up an evolutionary spectrum of  
546 progressive alterations in presence of oxidative free radical offense, whose final result will  
547 be either survival or senescence and then death, eventually going through several  
548 intermediate steps. Our results further indicate that sperm of infertile patients with severe  
549 asthenozoospermia who are candidates for ICSI may harbor cellular abnormalities, which  
550 may jeopardize oocyte fertilization and embryonic development depending on the severity  
551 of these abnormalities.

552

553

554

555

556 **Authors' roles**

557 R.D. designed the study, analyzed and interpreted the data, and drafted the  
558 manuscript. O.B., G.R., P.A., F.M.P., and N.B. performed some analyses. O.B. obtained  
559 the data for her Ph.D. thesis. E.V. performed clinical evaluation of patients and designed  
560 the study. R.C. and G.S. organized and revised the manuscript. F.G.V collaborated in the  
561 drafting of the manuscript. E.V. and R.D contributed equally in the study.

562

563 **Declaration of interest**

564 The authors declare that they do not have any conflicts of interest that could  
565 prejudice the impartiality of the reported research.

566

567 **Funding**

568 This research did not receive any grant from any funding agency in the public,  
569 commercial, or not-for-profit sector.

570

571 **Acknowledgments**

572 The authors acknowledge the active collaboration of technicians of the Andrology  
573 Center for helping in selecting asthenozoospermic samples.

574

575

576

577

578

579

580

581 **REFERENCES**

582

583 **Agarwal A & Allamanemi SS** 2004 Oxidant and antioxidant in human fertility.

584 Middle East Fertility Society Journal **9** 187-197.

585 **Aitken RJ, Clarkson JS & Fishel S** 1989 Generation of reactive oxygen species,

586 lipid peroxidation, and human sperm function. Biology of Reproduction **41** 183-197.

587 **Aitken RJ & Curry BJ** 2011 Redox regulation of human sperm function: from the

588 physiological control of sperm capacitation to the etiology of infertility and DNA damage in

589 the germ line. Antioxidant Redox Signal **14** 367-381.

590 **Aitken RJ & De Iuliis GN** 2010 On the possible origins of DNA damage in human

591 spermatozoa. Molecular Human Reproduction **16** 3-13.

592 **Aitken RJ, De Iuliis GN, Finnie JM, Hedges A & McLachlan RI** 2010 Analysis of

593 the relationships between oxidative stress, DNA damage and sperm vitality in a patient

594 population: development of diagnostic criteria. Human Reproduction **25** 2415-2426.

595 **Aitken RJ, Iones KT & Robertson SA** 2012 Reactive oxygen species and sperm

596 function-In sickness and in health. Journal of Andrology **33** 1096-1104.

597 **Alvarez JG, Touchstone JC, Blasco L & Storey BT** 1987 Spontaneous lipid

598 peroxidation and production of hydrogen peroxide and superoxide in human spermatozoa.

599 Journal of Andrology **8** 338-348.

600 **Amaral A, Ramalho-Santos J & St John JC** 2007 The expression of polymerase

601 gamma and mitochondrial transcription factor A and the regulation of mitochondrial DNA

602 content in mature human sperm. Human Reproduction **22** 1585-1596.

603 **Bahr GF & Engler Wf** 1970 Considerations of volume, mass, DNA, and

604 arrangement of mitochondria in the midpiece of bull spermatozoa. Experimental Cell

605 Research **60** 338-340.



606 **Biggers JD, Whitten WK, Whittingham DG** 1971 The culture of mouse embryos in  
607 vitro. In *Methods of mammalian embryology*, pp 86-116. Ed JC Daniel. San Francisco,  
608 CA:WH Freeman Press.

609 **Bruijn MH, Coulson AR, Drouin J, Eperon JC, Nierlich DP, Rpe BA & Sanger F.**  
610 1981 Sequence and organization of the human mitochondrial genome. *Nature* **290** 457-  
611 465.

612 **Calogero AE, Fishel S, Hall J, Ferrara E, Vicari E, Green S, Hunter A, Burrello**  
613 **N, Thornton S & D'Agata R** 1998 Correlation between intracellular cAMP  
614 content, kinematic parameters and hyperactivation of human spermatozoa after incubation  
615 with pentoxifylline. *Human Reproduction* **13** 911-915.

616 **D'Agata R, Vicari E, Moncada ML, Sidoti G, Calogero AE, Fornito MC,**  
617 **Minacapilli G, Mongioi A & Polosa P** 1990 Generation of reactive oxygen species in  
618 subgroups of infertile men. *International Journal of Andrology* **13** (5) 344-351.

619 **De Iuliis GN, Thomson LK, Mitchell LA, Finnie JM, Koppers AJ, Hedges A,**  
620 **Nixon B & Aitken RJ** 2009 DNA damage in human spermatozoa is highly correlated with  
621 efficiency of chromatin remodeling and the formation of 8-hydroxy-2'-deoxyguanosine, a  
622 marker of oxidative stress. *Biology of Reproduction* **81** 517-524.

623 **de Lamirande E & Gagnon C** 1992 reactive oxygen species and human  
624 spermatozoa. Effects on the motility of intact spermatozoa and on sperm axonemes.  
625 *Journal of Andrology* **13** 368-378.

626 **Diez-Sanchez C, Ruiz-Pesini E, Lapena AC, Montoya J, Perez-Martos A,**  
627 **Enriquez JA & Lopez-Perez MJ** 2003 Mitochondrial DNA content of human spermatozoa.  
628 *Biology of Reproduction* **68** 180-185.

629 **Erkkilä K, Pentikäinen V, Wikström M, Parvinen M & Dunkel L** 1999 Partial  
630 oxygen pressure and mitochondrial permeability transition affect germ cell apoptosis in the

- 631 human testis. *Journal of Clinical Endocrinology and Metabolism* **4** 4253-4259.
- 632 **Folgero T, Bertheussen K, Lindal S, Torbergsen T & Oian P** 1993 Mitochondrial  
633 disease and reduced sperm motility. *Human Reproduction* **8** 1863-1868.
- 634 **Ford WCL** 1990 The role of oxygen free radicals in the pathology of human  
635 spermatozoa: Implications for IVF. *Clinical IVF forum*. In: *Clinical IVF Forum. Current*  
636 *views in assisted reproduction*, pp 123-139. Eds PL Matson and BA Libermann.  
637 Manchester University Press.
- 638 **Gabriel MS, Chan SW, Alhathal N, Chen JZ & Zini A** 2012 Influence of  
639 microsurgical varicocelectomy on human sperm mitochondrial DNA copy number: a pilot  
640 study. *Journal of Assisted Reproduction and Genetics* **29** 759-764.
- 641 **Hecht NB & Liem H** 1984 Mitochondrial DNA is synthesized during meiosis and  
642 spermiogenesis in the mouse. *Experimental Cell Research* **154** 293-298.
- 643 **Henkel R, Kierspel E, Stalf T, Mehnert C, Menkveld R, Tinneberg HR, Schill WB**  
644 **& Kruger TF** 2005 Effect of reactive oxygen species produced by spermatozoa and  
645 leukocytes on sperm functions in non-leukocytospermic patients. *Fertility and Sterility* **83**  
646 635-642.
- 647 **Hughes LM, Griffith R, Carey A, Butler T, Donne SW, Beagley KW, Aitken RJ**  
648 2009 The spermostatic and microbicidal actions of quinones and maleimides: toward a  
649 dual-purpose contraceptive agent. *Molecular Pharmacology* **76** 113-124.
- 650 **Kao S, Chao HT & Wei YH** 1995 Mitochondrial deoxyribonucleic acid 4977-bp  
651 deletion is associated with diminished fertility and motility of human sperm. *Biology of*  
652 *Reproduction* **52** 729-736.
- 653 **Kao SH, Chao HT & Wei YH** 1998 Multiple deletions of mitochondrial DNA are  
654 associated with the decline of motility and fertility of human spermatozoa. *Molecular*  
655 *Human Reproduction* **4** 657-666.

656 **Kao SH, Chao HT, Chen HW, Hwang TJS, Liao TL & Wei YH** 2008 Increase of  
657 oxidative stress in human sperm with lower motility. *Fertility and Sterility* **89** 1183-1190.

658 **Kasai T, Ogawa K, Mizuno K, Nagai S, Uchida Y, Ohta S, Fujie M, Suzuki K,**  
659 **Hirata S & Hoshi K** 2002 Relationship between sperm mitochondrial membrane potential,  
660 sperm motility, and fertility potential. *Asian Journal of Andrology* **4** 97-103.

661 **Koppers AJ, De Juliis GN, Finnie JM, McLaughlin EA & Aitken RJ** 2008  
662 Significance of mitochondrial reactive oxygen species in the generation of oxidative stress  
663 in spermatozoa. *Journal Clinical Endocrinology and Metabolism* **93** 3199-3207.

664 **Krausz C, West K, Buckingham D & Aitken RJ** 1992 Development of a technique  
665 for monitoring the contamination of human semen samples with leucocytes. *Fertility and*  
666 *Sterility* **57** 1317-1325.

667 **Krausz C, Mills C, Rogers S, Tan SL & Aitken RJ** 1994 Stimulation of oxidant  
668 generation by human sperm suspensions using phorbol esters and formyl peptides:  
669 relationships with motility and fertilization in vitro. *Fertility and Sterility* **62** 599-605.

670 **Lee HC, Yin PH, Lu CY, Chi CW & WeYH** 2000 Increase of mitochondria and  
671 mitochondrial DNA in response to oxidative stress in human cell. *Journal of Biochemistry*  
672 **348**(pt2):425-432.

673 **Lestienne P, Reynier P Chretien MF, Pennisson-Besnier I, Malthiery Y &**  
674 **Rohmer V** 1997 Oligoasthenospermia associated with multiple mitochondrial DNA  
675 rearrangements. *Molecular Human Reproduction* **3** 811-814.

676 **Liu CS, Tsai CS, Kuo CL, Chen HW, Lii CK, Ma YS & Wei YH** 2003 Oxidative  
677 stress-related alteration of the copy number of mitochondrial DNA in human leukocytes.  
678 *Free Radical Research* **37** 1307-1317.

679 **Luo Y, Liao W, Chen Y, Cui J, Liu F, Jiang C, Gao W & Gao Y** 2011 Altitude can  
680 alter the mtDNA copy number and DNA integrity in sperm, *Journal of Assisted*

681 Reproduction and Genetics **28** 951-956.

682 **Marchetti C, Jouy N, Leroy-Martin B, Defossez A, Formstecher P, & Marchetti**  
683 **P** 2004 Comparison of four fluorochromes for the detection of the inner mitochondrial  
684 membrane potential in human spermatozoa and their correlation with sperm motility.  
685 Human Reproduction **19** 2267-2276.

686 **May-Panloup P, Chrétien MF, Savagner F, Vasseur C, Jean M, Malthièry Y &**  
687 **Reynier P** 2003 Increased sperm mitochondrial content in male infertility. Human  
688 reproduction **18** 550- 556.

689 **Mitchell LA, De Iuliis GN & Aitken RJ** 2011 The Tunnel consistently  
690 underestimates DNA damage in human spermatozoa and is influenced by DNA  
691 compaction and cell vitality: development of an improved methodology. International  
692 Journal of Andrology **34** 2-13.

693 **Muratori M, Piomboni P, Baldi E, Filimberti E, Pecchioli P, Moretti E, Gambera**  
694 **L, Baccetti B, Biagiotti R, Forti G et al.** 2000 Functional and ultrastructural features of  
695 DNA-fragmented human sperm Journal of Andrology 21903-912.

696 **Narisawa S, Hecht NB, Goldberg E, Boatright KM, Reed JC & Millán JL** 2002  
697 Testis-specific cytochrome c-null mice produce functional sperm but undergo early  
698 testicular atrophy. Molecular and Cellular Biology **22** 5554-5562.

699 **Pasqualotto FF, Sharma RK, Nelson DR, Thomas AJ & Agarwal A** 2000  
700 Relationship between oxidative stress, semen characteristics, and clinical diagnosis in  
701 men undergoing infertility investigation. Fertility and Sterility **73** 3 459-464.

702 **Perdichizzi A, Nicoletti F, La Vignera S, Barone N, D'Agata R, Vicari E &**  
703 **Calogero AE** 2007 Effects of tumor necrosis factor- $\alpha$  on human sperm motility and  
704 apoptosis. Journal of Clinical Immunology **27** 152-162.

705 **Rossato M, La Sala GB, Balasini M, Taricco F, Galeazzi C, Ferlin A & Foresta C**

706 1999 Sperm treatment with extracellular ATP increases fertilization rates in in-vitro  
707 fertilization for male factor infertility. *Human Reproduction* **14** 694-697.

708 **Ruiz-Pesini E, Lapena AC, Diez-Sanchez C, Perez-Martos A, Montoya J,**  
709 **Alvarez E, Diaz M, Urries A, Montoro L, Lopez-Perez MJ et al.** 2000 Human mtDNA  
710 haplogroups associated with high or reduced spermatozoa motility. *American Journal of*  
711 *Human Genetics* **67** 682-696.

712 **Sakkas D, Seli E, Bizzaro D, Tarozzi N, Manicardi GC.** 2003 Abnormal  
713 spermatozoa in the ejaculate :abortive apoptosis and faulty nuclear remodeling during  
714 spermatogenesis. *Reproductive Biomedecine Online* **7** 428-432.

715 **Salehi MH, Houshmand M, Bidmeshkipour A, Shariat S & Panahi SS M** 2006  
716 Low sperm motility due to mitochondrial DNA multiple deletions. *Journal of Chinese*  
717 *Clinical Medicine* **9** 181-185.

718 **Sawyer DE, Mercer BG, Wiklendt AM & Aitken RJ** 2003 Quantitative analysis of  
719 gene-specific DNA damage in human spermatozoa. *Mutation Research* **529** 21-34.

720 **Shamsi MB, Venkatesh S, Tanwar M, Sharma Rk, Dhawan A, Kumar R, Gupta**  
721 **NP, Malhotra N, Singh N, Mittal S et al.** 2009 DNA integrity and semen quality in men  
722 with low seminal antioxidant levels. *Mutation Research* **665** 29-36.

723 **Song G & Lewis V** 2008 Mitochondrial DNA integrity and copy number in sperm  
724 from infertile men. *Fertility and Sterility* **90** 2238-2244.

725 **St John JC, Cooke ID & Barratt CLR** 1997 Mitochondrial mutations and male  
726 infertility. *Nature Medicine* **3** 124-125.

727 **Susin SA, Lorenzo HK, Zamzami N, Marzo I, Snow BE, Brothers GM, Mangion**  
728 **J, Jacotot E, Costantini P, Loeffler M et al.** 1999 Molecular characterization of  
729 mitochondrial apoptosis-inducing factors. *Nature* **397** 441-446.

730 **Tesarik J, Mendoza C & Greco E** 2002 Paternal effects acting during the first cycle

- 731 of human preimplantation development after ICSI. *Human Reproduction* **1** 189-202.
- 732 **Troiano L, Granata AR, Cossarizza A, Kalashnikova G, Bianchi R, Pini G,**  
733 **Tropea F, Carani C & Franceschi C** 1998 Mitochondrial membrane potential and DNA  
734 stainability in human sperm cells: a flow cytometry analysis with implications for male  
735 infertility. *Experimental Cell Research* **241** 384-393.
- 736 **Varum S, Bento C, Sousa AP, Gomes-Santos CS, Henriques P, Almeida-**  
737 **Santos T, Teodósio C, Paiva A, & Ramalho-Santos J** 2007 Characterization of human  
738 sperm population using conventional parameters, surface ubiquitination and apoptotic  
739 markers. *Fertility and Sterility* **87** 572-583.
- 740 **Venkatesh S, Deecaraman M, Kumar R, Shamsi MB & Dada R** 2009 Role of  
741 reactive oxygen species in the pathogenesis of mitochondrial DNA(mtDNA) mutations in  
742 male infertility. *Indian Journal of Medical Research* **129** 127-137.
- 743 **Wang X, Sharma RK, Gupta A, George V, Thomas AJ, Falcone T & Agarwal A**  
744 2003 Alterations in mitochondria membrane potential and oxidative stress in men: a  
745 prospective observational study. *Fertility and Sterility* **80** 844-850.
- 746 **Whittington K & Ford VCL** 1999 Relative contribution of leukocytes and of  
747 spermatozoa to reactive oxygen species production in human sperm suspensions.  
748 *International Journal of Andrology* **22** 229-235.
- 749 **Whittington K, Harrison SC, Williams KM, Day JL, Maclaughlin EA, Hull MGR**  
750 **& Ford VCL** 1999 Reactive oxygen species (ROS) production and the outcome of  
751 diagnostic tests of sperm function. *International Journal of Andrology* **22** 236-242.
- 752 **World Health Organization** 2010 WHO Laboratory Manual for the Examination of  
753 Human Semen, 5th edn. WHO Library Cataloguing-in-Publication Data, Geneva,  
754 Switzerland.
- 755 **Yakes FM & Van Houten B** 1997 Mitochondrial DNA damage is more extensive

756 and persists longer than nuclear DNA damage in human cells following oxidative stress:  
757 Proceedings of the National Academy of Sciences of the United States of America **94** 514-  
758 519.

759 **Zidi-Jrah I, Hajlaoui A, Mougou-Zerelli S, Kammoun M, Meniaaoui I, Dallem A,**  
760 **Brahem S, Fekih M, Bibi M, Saad A et al.** 2016 Relationship between sperm aneuploidy,  
761 sperm DNA integrity, chromatin packaging, traditional semen parameters, and recurrent  
762 pregnancy loss. Fertility and Sterility **105** 58-65.

## FIGURE LEGENDS

**Figure 1.** Basal, FMLP-stimulated, and PMA-stimulated ROS production in controls and patients (open bars) with asthenozoospermia. Bars represent median and the 25th and 75th percentiles. Vertical lines represent range. Vertical axis is in logarithmic scale. Bars in the right vertical axis indicate medians in patients.

**Figure 2.** Four representative products of long PCR analysis of human sperm for determining mtDNA integrity. Lanes 1 and 2: results of long PCR of mtDNA of sperm from 2 controls. Lanes 3 and 4: results of long PCR of mtDNA of sperm from 2 representative patients with asthenozoospermia. Lanes 1 and 2 show a high-intensity 8.7-kb band, which represents full-length wild-type mtDNA, indicating the presence of normal intact mtDNA. Lanes 3 and 4 show low-intensity 8.7-kb bands, indicating the presence of low amount of full-length mtDNA, and smaller bands, indicating deletions in mtDNA. HindIII/ $\lambda$  was used as a size marker.

**Figure 3.** Frequency (%) of abnormal biochemical, genomic, and cytofluorimetric parameters in sperm of patients with asthenozoospermia.



**Table 1** Descriptive analysis

<b>SEMEN VARIABLES</b>	<b>CONTROLS</b> (n = 22)	<b>PATIENTS</b> (n = 37)	<b>p-VALUE</b>
<b>CYTOLOGICAL</b>			
<b>Concentration (<math>\times 10^6/\text{ml}</math>)*</b>	119.3 $\pm$ 49 (39–235)	69 $\pm$ 33.6 (20–150)	p < 0.0002
<b>Total sperm number (<math>\times 10^6</math>)*</b>	439.3 $\pm$ 196.5 (126–750)	214.2 $\pm$ 108.8 (60–440)	p < 0.0001
<b>Progressive motility (%) (a + b)*</b>	47.4 $\pm$ 9.1 (30–68)	11.8 $\pm$ 4.8 (2–20)	p < 0.0001
<b>Nonprogressive motility (%) (c)*</b>	22.8 $\pm$ 11.7 (5–46)	61.5 $\pm$ 6.5 (52–72)	p < 0.0001
<b>Non-motile sperm (%) (d)*</b>	30.2 $\pm$ 8.9 (14–48)	26.6 $\pm$ 6.5 (16–42)	p < 0.12
<b>Normal morphology (%)*</b>	21.2 $\pm$ 5.4 (10–32)	19.8 $\pm$ 7.8 (8–40)	p < 0.50
<b>Leukocytes (<math>\times 10^6/\text{ml}</math>)**</b>	0 (0–0.4) 0–0.9	1 (0.7–1.5) 0.3–7	p < 0.0001
<b>Flow cytometry</b>			
<b>Viable spermatozoa*</b>	73.5 $\pm$ 7.8 60.2–86.1	66.2 $\pm$ 19.9 20.7–93.1	p < 0.11
<b>Spermatozoa with PS externalization (%)**</b>	1.9 (1.2–2.6) 0.04–13.8	3.2 (1.3–4.9) 0.12–40.3	p < 0.13
<b>Spermatozoa in late apoptosis (%)**</b>	6.0 (2.0–7.8) 0.1–14.7	7.1 (4.4–12.6) 0.1–32.0	p < 0.23
<b>Necrotic spermatozoa (%)**</b>	16.0 (12.2–23.4) 2.2–30.4	13.7 (6.3–20.5) 2.2–54.7	p < 0.39
<b>Spermatozoa with DNA fragmentation (%)**</b>	2.4 (1.4–3.2) 0.5–4.0	2.0 (0.9–5.0) 0.6–34.6	p < 0.6
<b>Spermatozoa with high (normal) MMP values (%)*</b>	86.1 $\pm$ 7.9 72.2–97.8	55.3 $\pm$ 21.7 15.5–95.8	p < 0.0001
<b>Spermatozoa with abnormal chromatin compactness (%)**</b>	15.4 (11.4–17.8) 8.4–18.9	15.2 (11.4–21) 4.3–39.3	p < 0.57

\* Values expressed as mean  $\pm$  SD, with range in parentheses

\*\* Values expressed median (25%–75%), with range in the second line

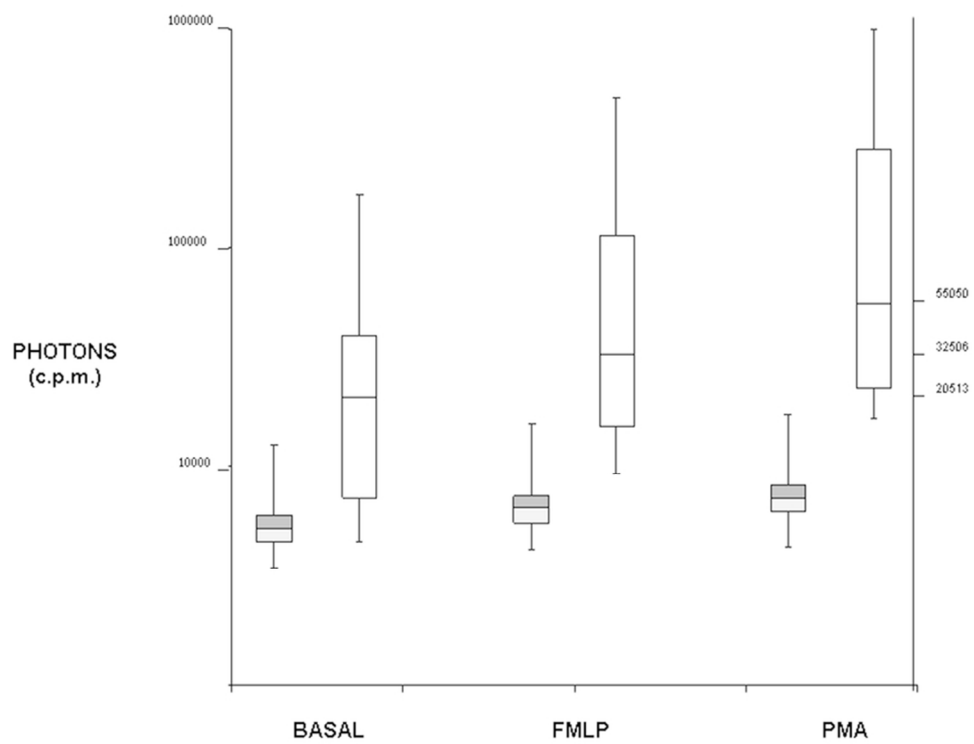
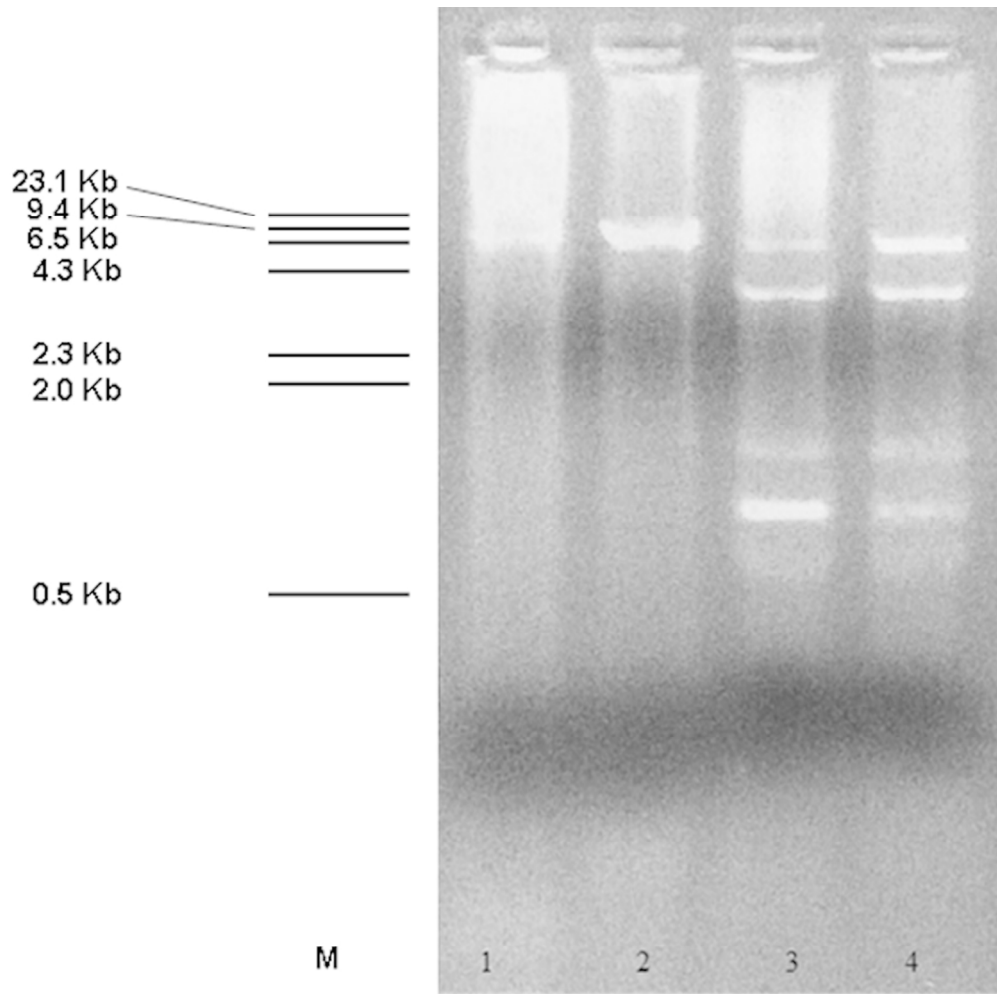


Figure 1

38x29mm (600 x 600 DPI)



1-2 NORMAL SAMPLES  
3-4 ASTENOZOOSPERMIC SAMPLES  
M  $\lambda$  DNA /Hind III

Figure 2

114x131mm (300 x 300 DPI)

